# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

()			or Section 30(h) of the Investment Company Act of 1940		<u> </u>					
1. Name and Addres	1 0	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol Stereotaxis, Inc. [STXS]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	<u>r c. m</u>				Director	10% Owner				
(Last) (First) (Middle) 626 SCHOOL STREET		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/19/2013	X	Officer (give title Other (spe below) below) Interim CEO					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filinç	g (Check Applicable				
CARLISLE	MA	01741		X	Form filed by One Rep	orting Person				
(City)	(State)	(Zip)	—		Form filed by More that Person	n One Reporting				

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ution Date, Transact Code (In		4. Securities Acquired Disposed Of (D) (Inst 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(	
Common Stock	11/19/2013		X		833 <sup>(1)</sup>	Α	\$ <mark>3</mark>	127,061	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Subscriptions Rights (right to buy) <sup>(2)</sup>	\$3	11/19/2013		x			2,500	10/31/2013	11/21/2013	Common Stock	833	\$0	23,728	D	

## Explanation of Responses:

1. Shares to be settled following the closing of the offering period for rights, which is expected to be November 21, 2013.

2. The Company issued to each holder of record, as of October 31, 2013, and certain warrant holders, subscription rights to acquire shares of common stock of Stereotaxis, Inc. in accordance with a prospectus dated October 29, 2013. Each subscription right entitles the holder to purchase one-third of a share of Stereotaxis, Inc. common stock.

### **Remarks:**



11/19/2013

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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