
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

FORM SD

Specialized Disclosure Report

Stereotaxis, Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction
of incorporation or organization)

001-36159
(Commission
File Number)

94-3120386
(IRS Employer
Identification No.)

4320 Forest Park Avenue; Suite 100;
St. Louis, MO
(Address of principal executive offices)

63108
(Zip Code)

Karen Witte Duros 314-678-6100
(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2016.

Section 1 – Conflict Minerals Disclosure**Item 1.01 Conflict Minerals Disclosure and Report**

This Specialized Disclosure Report on Form SD (“Form SD”) of Stereotaxis, Inc. (“Stereotaxis”, the “Company” or “we”) for the reporting period from January 1 to December 31, 2016, is filed pursuant to Rule 13p-1 under the Securities Exchange Act of 1934 (the “Rule”). Capitalized terms not otherwise defined herein are defined in the Rule.

Stereotaxis evaluated its products and determined that our products contain “necessary conflict minerals.” This means that Conflict Minerals as defined in the Rule are present in products we manufacture or contract to manufacture and are used to achieve the required function, use or purpose of those products. Our determination and related disclosures relating to such necessary conflict minerals are included in Stereotaxis’ Conflict Minerals Report and are incorporated by reference herein.

Item 1.02 Exhibit

The Company has filed a Conflict Minerals Report as Exhibit 1.01 to this Form SD. Stereotaxis’ Conflict Minerals Report is available at <http://www.stereotaxis.com/legal/>. The website and the information accessible through it are not incorporated into this Form SD.

Section 2 – Exhibits**Item 2.01 Exhibits**

Exhibit 1.01 Stereotaxis, Inc. Conflict Minerals Report for the reporting period January 1 to December 31, 2016

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

STEREOTAXIS, INC.

(Registrant)

By: /s/ Karen Witte Duros

Name: Karen Witte Duros

Title: Sr. Vice President and General Counsel

May 24, 2017

(Date)

Stereotaxis, Inc.
Conflict Minerals Report
For The Year Ended December 31, 2016

Introduction

This Conflict Minerals Report for Stereotaxis, Inc. (“Stereotaxis”, “we,” “us,” and “our”) is for the period from January 1 to December 31, 2016 and is presented to comply with Rule 13p-1 under the Securities Exchange Act of 1934 (“Rule”). Terms used in this report are defined in the Rule and Form SD. Refer to those sources and to SEC Release No. 34-67716 issued by the Securities and Exchange Commission on August 22, 2012 for such definitions.

Stereotaxis designs, manufactures and markets the Epoch™ Solution, which is an advanced remote robotic navigation system for use primarily by electrophysiologists for the treatment of abnormal heart rhythms known as cardiac arrhythmias. The Epoch Solution is comprised of the Niobe® ES Remote Magnetic Navigation System (“Niobe ES system”), Odyssey® Information Management Solution (“Odyssey Solution”), and the Vdrive® Robotic Navigation System (“Vdrive system”). The Niobe and Vdrive systems include sterilized disposable (consumable) components as well as capital equipment.

This Report describes the process and results of Stereotaxis’ Conflict Minerals program. Stereotaxis performed a reasonable country of origin inquiry to determine the source of necessary conflict minerals, and in accordance with the Rule then undertook due diligence measures on the source and chain of custody of the necessary conflict minerals in our products. The purpose of this due diligence was to determine if any of the necessary conflict minerals originated from the Democratic Republic of the Congo (“DRC”) or an adjoining country (collectively defined as the “Covered Countries”) and may not have come from recycled or scrap sources, to determine whether such products are “DRC conflict free.”

Consistent with the provisions of the Rule, this Conflict Minerals Report has not been audited by an independent private sector auditor.

Design of Conflict Minerals Program and Due Diligence Process

Stereotaxis has a Conflict Minerals program designed to be in conformity with the Organisation for Economic Co-operation and Development Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, 3rd Edition, including the Supplements on Tin, Tantalum and Tungsten and Gold (collectively, the “OECD Guidance”). In the context of the OECD Guidance Stereotaxis is a “downstream company” in the conflict minerals supply chain. Summarized below are the due diligence steps taken in accordance with the OECD Guidance five-step framework:

1. Maintained a strong company management system:

- a) We have a Conflict Minerals Policy. The policy has been communicated to our direct suppliers, and can be found on our website at <http://www.stereotaxis.com/legal/>.
- b) We have an internal cross-functional Conflict Minerals team that oversees the implementation of our Conflict Minerals program. The status of our Conflict Minerals program is reviewed with senior management. We maintain records relating to our Conflict Minerals program.
- c) We have a system of controls and transparency over our supply chain, using the industry standard Conflict Minerals Reporting Template (“CMRT”), which is a supply chain survey designed to identify the maturity of our suppliers’ conflict minerals programs, as well as the smelters and refiners that process the necessary conflict minerals contained in our products.

- d) We have informed our suppliers of our company policy, and our commitment to be DRC conflict free. Where suppliers are unaware of conflict minerals regulations, or have an immature process, we seek to educate them.
- e) We have a company-level grievance mechanism whereby anyone can confidentially call or email a concern or report a violation of a policy. This can be found in the Confidential Hot-line section of our website at <http://www.stereotaxis.com/legal/>.

2. Identified and assessed risks in the conflict minerals supply chain:

- a) We identified conflict minerals risks in our supply chain:
 - We identified all our direct suppliers that supply us with products that might have contained Conflict Minerals.
 - We conducted a Reasonable Country of Origin Inquiry (RCOI) with relevant suppliers of parts and materials used in products manufactured by Stereotaxis.
 - We requested our direct suppliers to provide us with a completed CMRT. The CMRT includes a section identifying evidence of an active corporate conflict minerals program/policy by each respective supplier.
 - We followed up with unresponsive suppliers, making multiple attempts to obtain information.
 - We reviewed CMRT and associated documentation provided by our suppliers for completeness and reasonableness. We categorized each supplier according to the responses provided. For any responses that do not pass a subjective reasonableness test, we contact those suppliers and discuss their responses.
- b) We assessed risks of adverse impacts by actively working to discontinue sourcing products from upstream suppliers when we identified a reasonable risk that they are sourcing from, or linked to any party committing serious abuses, consistent with Annex II of the OECD Guidance.

3. Designed and implemented a strategy to respond to identified risks:

- a) We identified the results of our RCOI, and identified high risk suppliers to senior management.
- b) We have a risk management plan that includes actively seeking to reduce or eliminate high risk suppliers.
- c) We have an ongoing risk reduction and management program, which includes a goal that all new future product designs are DRC conflict free, periodically reviewing and updating the conflict minerals status of our existing products, and striving over time to reduce or eliminate non-conflict-free minerals from the supply chain.
- d) We monitored, and will continue to monitor changes in supplier conflict minerals status, as circumstances change with suppliers and as conflict minerals status ripples through the supply chain to end item manufacturers such as Stereotaxis.

4. Carried out independent third-party audit of supply chain due diligence at identified points in the supply chain:

- a) We comply with Step 4 of the OECD Guidance by encouraging our direct suppliers to purchase from Electronic Industry Citizenship Coalition's (EICC) Conflict Free Smelter (CFS) smelters.

5. Report on supply chain due diligence:

- a) We publicly communicated our Conflict Minerals Sourcing Policy on our company website at <http://www.stereotaxis.com/legal/>.
- b) We publicly disclosed this Conflict Minerals Report on our company website at <http://www.stereotaxis.com/legal/>.
- c) As allowed by the Rule, obtaining an independent private sector audit of this Report was not required.

The content of any website referred to in this Report is included for general information only and is not incorporated by reference in this Report.

Reasonable Country of Origin Inquiry Results

Following our Conflict Minerals program procedure described above, Stereotaxis identified 206 suppliers and original equipment manufacturers in our direct supply chain. We then identified the subset of those suppliers who provide us with components, materials and assemblies where Conflict Minerals might be present and necessary to functionality, and we then performed a RCOI with those suppliers. This group of suppliers represents the source for all of the materials and components purchased for the manufacture or assembly of our products, which we believe either have Conflict Minerals in them, or for which we did not know whether the material or component contained Conflict Minerals. We received CMRT's from 127 relevant suppliers and original equipment manufacturers.

The 127 CMRT's we received contained a large number of smelter names for the facilities these suppliers and their upstream suppliers used to process Conflict Minerals, as well as the specific Conflict Mineral(s) used in their products. For purposes of our review, we consider each processor of a given Conflict Mineral to be a unique smelter. Therefore, as an example, if a particular processor processes two different Conflict Minerals, then we considered the entity to be two unique smelters, as opposed to one. After correction, review, and removal of duplicate or alternate names, and elimination of entities identified by our suppliers as smelters that we determined were not actually smelters, we identified 274 unique smelters.

Of the 274 smelters, our analysis leads us to believe that 65 of them are known to source, or there is reason to believe they may source, Conflict Minerals from the Covered Countries. We based this assessment on information obtained from entities engaged by us who are in direct contact with the smelters, as well as other public information available at the time.

In accordance with the SEC final rules for Conflict Minerals sourced from Covered Countries, because some smelters in our supply chain were identified to be sourcing from Covered Countries, Stereotaxis is required to exercise, and has exercised, due diligence on the Conflict Minerals' source and chain of custody. Additionally, Stereotaxis is required to follow, and has followed, a nationally or internally recognized due diligence framework and report the results thereof in a Conflict Minerals report.

Due Diligence Process Results

Of the 65 smelters identified as sourcing Conflict Minerals from the Covered Countries, Stereotaxis' due diligence review indicates that:

- 61 smelters are certified as DRC conflict free by the CFSI's Conflict Free Smelter Program (CFSP).
- 1 smelter is a member of the Tungsten Industry-Conflict Minerals Committee (TI-CMC) and has committed to obtaining CFSP certification within 2 years.
- 1 smelter has obtained a London Bullion Market Association (LBMA) Responsible Gold Certification.
- 2 smelters have no known involvement or status with the CFSP or any other relevant certifying body.

To the best of our knowledge, none of the smelters identified in our supply chain is known to source Conflict Minerals that directly or indirectly finances or benefits armed groups in the DRC or adjoining countries.

Stereotaxis did not discontinue business with any supplier simply because the supplier sourced from the Covered Countries. We support a conflict-free minerals trade in the DRC region.

Product Determination

We have not been able to confirm the identification of and conflict-free status under the CFSP standards for all smelters used in our supply chain.

No smelter identified in our supply chain is known to us as sourcing Conflict Minerals that directly or indirectly finances or benefits armed groups in the DRC or adjoining countries.

Facility and Mine Information

The table below identifies the smelter or refiner facilities which, to the extent known as a result of our RCOI, processed the necessary conflict minerals in our products.

<u>Metal</u>	<u>* Smelter or Refiner Name</u>	<u>Smelter or Refiner Location</u>
Gold	* Advanced Chemical Company	United States
Gold	* Aida Chemical Industries Co., Ltd.	Japan
Gold	Aktyubinsk Copper Company TOO	Kazakhstan
Gold	* Allgemeine Gold-und Silberscheideanstalt A.G.	Germany
Gold	* Almalyk Mining and Metallurgical Complex (AMMC)	Uzbekistan
Gold	* AngloGold Ashanti	Brazil
Gold	* Argor-Heraeus SA	Switzerland
Gold	* Asahi Pretec Corporation	Japan
Gold	* Asahi Refining Canada Limited	Canada
Gold	* Asahi Refining USA Inc.	United States
Gold	* Asaka Riken Co., Ltd.	Japan
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	Turkey
Gold	* Aurubis AG	Germany
Gold	Baiyin Nonferrous Group Co., Ltd.	China
Gold	* Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	Philippines
Gold	* Boliden AB	Sweden
Gold	* C. Hafner GmbH + Co. KG	Germany
Gold	Caridad	Mexico
Gold	* CCR Refinery - Glencore Canada Corporation	Canada
Gold	* Cendres + Métaux SA	Switzerland
Gold	* Chimet S.p.A.	Italy
Gold	China Gold International Resources Corp. Ltd	China
Gold	Chugai Mining	Japan
Gold	* Daejin Indus Co., Ltd.	Korea, Republic of
Gold	* Daye Non-Ferrous Metals Mining Ltd.	China
Gold	* DODUCO GmbH	Germany
Gold	* Dowa	Japan
Gold	* DSC (Do Sung Corporation)	Korea, Republic of
Gold	* Eco-System Recycling Co., Ltd.	Japan
Gold	* Elemetal Refining, LLC	United States

Metal	* Smelter or Refiner Name	Smelter or Refiner Location
Gold	* Emirates Gold DMCC	United Arab Emirates
Gold	Fidelity Printers and Refiners Ltd.	Zimbabwe
Gold	Geib Refining Corporation	United States
Gold	* Great Wall Precious Metals Co., Ltd. of CBPM	China
Gold	Guangdong Jinding Gold Limited	China
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.	China
Gold	Harmony Gold Mining Company Limited	South Africa
Gold	* Heimerle + Meule GmbH	Germany
Gold	* Heraeus Ltd. Hong Kong	China
Gold	* Heraeus Precious Metals GmbH & Co. KG	Germany
Gold	HwaSeong CJ Co. Ltd	Korea, Republic of
Gold	* Inner Mongolia Qiankun Gold and Silver Refinery Share Company Limited	China
Gold	* Ishifuku Metal Industry Co., Ltd.	Japan
Gold	* Istanbul Gold Refinery	Turkey
Gold	* Japan Mint	Japan
Gold	* Jiangxi Copper Company Limited	China
Gold	Jinlong Copper Co., Ltd.	China
Gold	* JSC Ekaterinburg Non-Ferrous Metal Processing Plant	Russian Federation
Gold	* JSC Uralelectromed	Russian Federation
Gold	* JX Nippon Mining & Metals Co., Ltd.	Japan
Gold	Kaloti Precious Metals	United Arab Emirates
Gold	* Kazzinc	Kazakhstan
Gold	* Kennecott Utah Copper LLC	United States
Gold	KGHM Polska Miedz Spółka Akcyjna	Poland
Gold	* Kojima Chemicals Co., Ltd.	Japan
Gold	* Kyrgyzaltyn JSC	Kyrgyzstan
Gold	L'azurde Company For Jewelry	Saudi Arabia
Gold	Lingbao Gold Company Ltd.	China
Gold	Lingbao Jinyuan Tonghui Refinery Co. Ltd.	China
Gold	* LS-NIKKO Copper Inc.	Korea, Republic of
Gold	Luo yang Zijin Yinhui Metal Smelt Co Ltd	China
Gold	* Materion	United States
Gold	* Matsuda Sangyo Co., Ltd.	Japan
Gold	* Metalor Technologies (Hong Kong) Ltd.	China
Gold	* Metalor Technologies (Singapore) Pte., Ltd.	Singapore
Gold	* Metalor Technologies (Suzhou) Co Ltd	China
Gold	* Metalor Technologies SA	Switzerland

Metal	* Smelter or Refiner Name	Smelter or Refiner Location
Gold	* Metalor USA Refining Corporation	United States
Gold	* METALÚRGICA MET-MEX PEÑOLES, S.A. DE C.V	Mexico
Gold	* Mitsubishi Materials Corporation	Japan
Gold	* Mitsui Mining and Smelting Co., Ltd.	Japan
Gold	* MMTC-PAMP India Pvt., Ltd.	India
Gold	Morris and Watson	New Zealand
Gold	* Moscow Special Alloys Processing Plant	Russian Federation
Gold	* Nadir Metal Rafineri San. Ve Tic. A.Ş.	Turkey
Gold	* Navoi Mining and Metallurgical Combinat	Uzbekistan
Gold	* Nihon Material Co., Ltd.	Japan
Gold	* Ögussa Österreichische Gold- und Silber-Scheideanstalt GmbH	Austria
Gold	* Ohura Precious Metal Industry Co., Ltd.	Japan
Gold	* OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)	Russian Federation
Gold	OJSC Kolyma Refinery	Russian Federation
Gold	* OJSC Novosibirsk Refinery	Russian Federation
Gold	* PAMP SA	Switzerland
Gold	Penglai Penggang Gold Industry Co Ltd	China
Gold	* Prioksky Plant of Non-Ferrous Metals	Russian Federation
Gold	* PT Aneka Tambang (Persero) Tbk	Indonesia
Gold	* PX Précinox SA	Switzerland
Gold	* Rand Refinery (Pty) Ltd.	South Africa
Gold	* Republic Metals Corporation	United States
Gold	* Royal Canadian Mint	Canada
Gold	Sabin Metal Corp.	United States
Gold	SAFINA, a.s	Czech Republic
Gold	* Samduck Precious Metals	Korea, Republic of
Gold	SAMWON METALS Corp.	Korea, Republic of
Gold	* SAXONIA Edelmetalle GmbH	Germany
Gold	* Schone Edelmetaal B.V.	Netherlands
Gold	* SEMPSA Joyería Platería SA	Spain
Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd.	China
Gold	* Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	China
Gold	So Accurate Group, Inc.	United States
Gold	* SOE Shyolkovsky Factory of Secondary Precious Metals	Russian Federation
Gold	* Solar Applied Materials Technology Corp.	Taiwan
Gold	* Sumitomo Metal Mining Co., Ltd.	Japan
Gold	* T.C.A S.p.A	Italy

Metal	* Smelter or Refiner Name	Smelter or Refiner Location
Gold	* Tanaka Kikinzoku Kogyo K.K.	Japan
Gold	* The Refinery of Shandong Gold Mining Co., Ltd.	China
Gold	* Tokuriki Honten Co., Ltd.	Japan
Gold	TongLing Nonferrous Metals Group Holdings Co., Ltd.	China
Gold	* Torecom	Korea, Republic of
Gold	* Umicore Brasil Ltda.	Brazil
Gold	* Umicore Precious Metals Thailand	Thailand
Gold	* Umicore SA Business Unit Precious Metals Refining	Belgium
Gold	* United Precious Metal Refining, Inc.	United States
Gold	* Valcambi SA	Switzerland
Gold	* Western Australian Mint trading as The Perth Mint	Australia
Gold	* WIELAND Edelmetalle GmbH	Germany
Gold	* Yamamoto Precious Metal Co., Ltd.	Japan
Gold	Yantai Guodasafina High-tech Environmental Refinery CO., Ltd.	China
Gold	* Yokohama Metal Co., Ltd.	Japan
Gold	Yunnan Copper Industry Co Ltd	China
Gold	* Zhongyuan Gold Smelter of Zhongjin Gold Corporation	China
Gold	* Zijin Mining Group Co., Ltd. Gold Refinery	China
Tantalum	* Changsha South Tantalum Niobium Co., Ltd.	China
Tantalum	* Conghua Tantalum and Niobium Smeltry	China
Tantalum	* D Block Metals, LLC	United States
Tantalum	* Duoluoshan	China
Tantalum	* Exotech Inc.	United States
Tantalum	* F&X Electro-Materials Ltd.	China
Tantalum	* FIR Metals & Resource Ltd.	China
Tantalum	* Global Advanced Metals Aizu	Japan
Tantalum	* Global Advanced Metals Boyertown	United States
Tantalum	* Guangdong Zhiyuan New Material Co., Ltd.	China
Tantalum	* H.C. Starck Co., Ltd.	Thailand
Tantalum	* H.C. Starck GmbH Goslar	Germany
Tantalum	* H.C. Starck Hermsdorf GmbH	Germany
Tantalum	* H.C. Starck Inc.	United States
Tantalum	* H.C. Starck Ltd.	Japan
Tantalum	* H.C. Starck Smelting GmbH & Co. KG	Germany
Tantalum	* Hengyang King Xing Lifeng New Materials Co., Ltd.	China
Tantalum	* Hi-Temp Specialty Metals, Inc.	United States
Tantalum	* JiuJiang JinXin Nonferrous Metals Co., Ltd.	China

Metal	* Smelter or Refiner Name	Smelter or Refiner Location
Tantalum	* Jiujiang Tanbre Co., Ltd.	China
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	China
Tantalum	* KEMET Blue Metals	Mexico
Tantalum	* Kemet Blue Powder	United States
Tantalum	* King-Tan Tantalum Industry Ltd.	China
Tantalum	* LSM Brasil S.A.	Brazil
Tantalum	* Metallurgical Products India Pvt., Ltd.	India
Tantalum	* Mineração Taboca S.A.	Brazil
Tantalum	* Mitsui Mining and Smelting Co., Ltd.	Japan
Tantalum	* Molycorp Silmet A.S.	Estonia
Tantalum	* Ningxia Orient Tantalum Industry Co., Ltd.	China
Tantalum	* QuantumClean	United States
Tantalum	* RFH Tantalum Smeltry Co., Ltd.	China
Tantalum	* Solikamsk Magnesium Works OAO	Russian Federation
Tantalum	* Telex Metals	United States
Tantalum	* Tranzact, Inc.	United States
Tantalum	* Ulba Metallurgical Plant JSC	Kazakhstan
Tantalum	* XinXing Haorong Electronic Material Co., Ltd.	China
Tantalum	* Yichun Jin Yang Rare Metal Co., Ltd.	China
Tantalum	* Zhuzhou Cemented Carbide Group Co., Ltd.	China
Tin	* Alpha	United States
Tin	An Vinh Joint Stock Mineral Processing Company	Viet Nam
Tin	* Chenzhou Yunxiang Mining and Metallurgy Company Limited	China
Tin	* China Tin Group Co., Ltd.	China
Tin	CNMC (Guangxi) PGMA Co. Ltd.	China
Tin	Complejo Metalurgico Vinto S.A.	Bolivia
Tin	* Cooperativa Metalurgica de Rondônia Ltda.	Brazil
Tin	* CV Ayi Jaya	Indonesia
Tin	* CV Gita Pesona	Indonesia
Tin	CV Prima Timah Utama	Indonesia
Tin	* CV Serumpun Sebalai	Indonesia
Tin	* CV United Smelting	Indonesia
Tin	* CV Venus Inti Perkasa	Indonesia
Tin	Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company	Viet Nam
Tin	* Elmet S.L.U.	Spain
Tin	* EM Vinto	Bolivia
Tin	Estanho de Rondônia S.A.	Brazil

Metal	* Smelter or Refiner Name	Smelter or Refiner Location
Tin	* Fenix Metals	Poland
Tin	Gejiu Kai Meng Industry and Trade LLC	China
Tin	* Gejiu Non-Ferrous Metal Processing Co., Ltd.	China
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	China
Tin	Gejiu Zi-Li	China
Tin	Huichang Jinshunda Tin Co. Ltd	China
Tin	Jean Goldschmidt International SA	Belgium
Tin	* Jiangxi Ketai Advanced Material Co., Ltd.	China
Tin	* Magnu's Minerais Metais e Ligas Ltda.	Brazil
Tin	* Malaysia Smelting Corporation (MSC)	Malaysia
Tin	* Melt Metais e Ligas S.A.	Brazil
Tin	* Metallic Resources, Inc.	United States
Tin	* Metallo-Chimique N.V.	Belgium
Tin	* Mineração Taboca S.A.	Brazil
Tin	* Minsur	Peru
Tin	* Mitsubishi Materials Corporation	Japan
Tin	Nankang Nanshan Tin Co., Ltd.	China
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company	Viet Nam
Tin	* O.M. Manufacturing (Thailand) Co., Ltd.	Thailand
Tin	* O.M. Manufacturing Philippines, Inc.	Philippines
Tin	* Operaciones Metalurgical S.A.	Bolivia
Tin	* PT Aries Kencana Sejahtera	Indonesia
Tin	* PT Artha Cipta Langgeng	Indonesia
Tin	* PT ATD Makmur Mandiri Jaya	Indonesia
Tin	* PT Babel Inti Perkasa	Indonesia
Tin	* PT Bangka Prima Tin	Indonesia
Tin	* PT Bangka Tin Industry	Indonesia
Tin	* PT Belitung Industri Sejahtera	Indonesia
Tin	* PT Bukit Timah	Indonesia
Tin	* PT Cipta Persada Mulia	Indonesia
Tin	* PT DS Jaya Abadi	Indonesia
Tin	* PT Eunindo Usaha Mandiri	Indonesia
Tin	* PT Inti Stania Prima	Indonesia
Tin	* PT Justindo	Indonesia
Tin	* PT Karimun Mining	Indonesia
Tin	* PT Mitra Stania Prima	Indonesia
Tin	* PT Panca Mega Persada	Indonesia
Tin	* PT Prima Timah Utama	Indonesia

Metal	* Smelter or Refiner Name	Smelter or Refiner Location
Tin	* PT Refined Bangka Tin	Indonesia
Tin	* PT Sariwiguna Binasentosa	Indonesia
Tin	* PT Stanindo Inti Perkasa	Indonesia
Tin	* PT Sukses Inti Makmur	Indonesia
Tin	* PT Sumber Jaya Indah	Indonesia
Tin	* PT Timah (Persero) Tbk Kunder	Indonesia
Tin	* PT Timah (Persero) Tbk Mentok	Indonesia
Tin	* PT Tinindo Inter Nusa	Indonesia
Tin	PT Tirus Putra Mandiri	Indonesia
Tin	* PT Tommy Utama	Indonesia
Tin	* PT WAHANA PERKIT JAYA	Indonesia
Tin	* Rui Da Hung	Taiwan
Tin	* Soft Metais Ltda.	Brazil
Tin	* Thaisarco	Thailand
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company	Viet Nam
Tin	* VQB Mineral and Trading Group JSC	Viet Nam
Tin	* White Solder Metalurgia e Mineração Ltda.	Brazil
Tin	Yunnan Chengfeng Non-ferrous Metals Co.,Ltd.	China
Tin	* Yunnan Tin Group (Holding) Company Limited	China
Tungsten	* A.L.M.T. TUNGSTEN Corp.	Japan
Tungsten	* Asia Tungsten Products Vietnam Ltd.	Viet Nam
Tungsten	* Chenzhou Diamond Tungsten Products Co., Ltd.	China
Tungsten	* China Minmetals	China
Tungsten	* Chongyi Zhangyuan Tungsten Co., Ltd.	China
Tungsten	Dayu Jincheng Tungsten Industry Co., Ltd.	China
Tungsten	* Dayu Weiliang Tungsten Co., Ltd.	China
Tungsten	* Fujian Jinxin Tungsten Co., Ltd.	China
Tungsten	* Ganzhou Huaxing Tungsten Products Co., Ltd.	China
Tungsten	* Ganzhou Jiangwu Ferrotungsten Co., Ltd.	China
Tungsten	* Ganzhou Seadragon W & Mo Co., Ltd.	China
Tungsten	Ganzhou Yatai Tungsten Co., Ltd.	China
Tungsten	* Global Tungsten & Powders Corp.	United States
Tungsten	* Guangdong Xianglu Tungsten Co., Ltd.	China
Tungsten	* H.C. Starck GmbH	Germany
Tungsten	* Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji	China
Tungsten	* Hunan Chunchang Nonferrous Metals Co., Ltd.	China
Tungsten	* Hydrometallurg, JSC	Russian Federation
Tungsten	* Japan New Metals Co., Ltd.	Japan

<u>Metal</u>	<u>* Smelter or Refiner Name</u>	<u>Smelter or Refiner Location</u>
Tungsten	* Jiangwu H.C. Starck Tungsten Products Co., Ltd.	China
Tungsten	* Jiangxi Gan Bei Tungsten Co., Ltd.	China
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	China
Tungsten	Jiangxi Rare Earth & Rare Metals Tungsten Group Corp	China
Tungsten	* Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	China
Tungsten	* Jiangxi Xincheng Tungsten Industry Co., Ltd.	China
Tungsten	* Jiangxi Xiushui Xianggan Nonferrous Metals Co., Ltd.	China
Tungsten	* Jiangxi Yaosheng Tungsten Co., Ltd.	China
Tungsten	* Kennametal Fallon	United States
Tungsten	* Kennametal Huntsville	United States
Tungsten	* Malipo Haiyu Tungsten Co., Ltd.	China
Tungsten	* Niagara Refining LLC	United States
Tungsten	Nippon Tungsten Co., Ltd.	Japan
Tungsten	* Nui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC	Viet Nam
Tungsten	* Tejing (Vietnam) Tungsten Co., Ltd.	Viet Nam
Tungsten	* Vietnam Youngsun Tungsten Industry Co., Ltd.	Viet Nam
Tungsten	* Wolfram Bergbau und Hütten AG	Austria
Tungsten	* Xiamen Tungsten (H.C.) Co., Ltd.	China
Tungsten	* Xiamen Tungsten Co., Ltd.	China
Tungsten	* Xinhai Rendan Shaoguan Tungsten Co., Ltd.	China

* Denotes smelters and refiners that have received a conflict-free designation from an independent third party audit program.

Smelters or Refiners which Processed Necessary Conflict Minerals

The table below lists the 65 smelter or refiner facilities which, to the extent known, processed the necessary conflict minerals in our products, or products produced by companies in our supply chain that were sourced from the Covered Countries, and hence were subject to due diligence, along with their CFSP Certification Status.

The Conflict-Free Certification Status values are:

- CFSP: The smelter has an active certification or is in the process of renewing their certification in the Conflict-Free Smelter Program (CFSP) from the Conflict-Free Sourcing Initiative (CFSI).
- LBMA: The smelter has obtained a Responsible Gold Certification (RGC) from the London Bullion Market Association (LBMA).
- TICMC: The Tungsten smelter is a member of the Tungsten Industry-Conflict Minerals Committee (TI-CMC) and has committed to obtaining CFSP certification within 2 years.

<u>Metal</u>	<u>Official Smelter Name</u>	<u>Conflict-Free Certification Status</u>	<u>Smelter or Refiner Location</u>
Gold	Almalyk Mining and Metallurgical Complex (AMMC)	LBMA	Uzbekistan
Gold	Asaka Riken Co., Ltd.	CFSP	Japan

<u>Metal</u>	<u>Official Smelter Name</u>	<u>Conflict-Free Certification Status</u>	<u>Smelter or Refiner Location</u>
Gold	CCR Refinery - Glencore Canada Corporation	CFSP	Canada
Gold	Elemetal Refining, LLC	CFSP	United States
Gold	Jiangxi Copper Company Limited	CFSP	China
Gold	Mitsubishi Materials Corporation	CFSP	Japan
Gold	Nihon Material Co., Ltd.	CFSP	Japan
Gold	Prioksky Plant of Non-Ferrous Metals	CFSP	Russian Federation
Gold	Rand Refinery (Pty) Ltd.	CFSP	South Africa
Gold	Samduck Precious Metals	CFSP	Korea, Republic of
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	CFSP	China
Tantalum	Conghua Tantalum and Niobium Smeltry	CFSP	China
Tantalum	Duoluoshan	CFSP	China
Tantalum	F&X Electro-Materials Ltd.	CFSP	China
Tantalum	Global Advanced Metals Aizu	CFSP	Japan
Tantalum	Global Advanced Metals Boyertown	CFSP	United States
Tantalum	Guangdong Zhiyuan New Material Co., Ltd.	CFSP	China
Tantalum	H.C. Starck Co., Ltd.	CFSP	Thailand
Tantalum	H.C. Starck GmbH Goslar	CFSP	Germany
Tantalum	H.C. Starck Hermsdorf GmbH	CFSP	Germany
Tantalum	H.C. Starck Inc.	CFSP	United States
Tantalum	H.C. Starck Ltd.	CFSP	Japan
Tantalum	H.C. Starck Smelting GmbH & Co. KG	CFSP	Germany
Tantalum	Hi-Temp Specialty Metals, Inc.	CFSP	United States
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	CFSP	China
Tantalum	Jiujiang Tanbre Co., Ltd.	CFSP	China
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.		China
Tantalum	KEMET Blue Metals	CFSP	Mexico
Tantalum	Kemet Blue Powder	CFSP	United States
Tantalum	LSM Brasil S.A.	CFSP	Brazil
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	CFSP	China
Tantalum	Ulba Metallurgical Plant JSC	CFSP	Kazakhstan
Tantalum	Zhuzhou Cemented Carbide Group Co., Ltd.	CFSP	China
Tin	China Tin Group Co., Ltd.	CFSP	China
Tin	CV United Smelting	CFSP	Indonesia
Tin	CV Venus Inti Perkasa	CFSP	Indonesia
Tin	EM Vinto	CFSP	Bolivia
Tin	Jiangxi Ketai Advanced Material Co., Ltd.	CFSP	China
Tin	Magnu's Minerais Metais e Ligas Ltda.	CFSP	Brazil
Tin	Malaysia Smelting Corporation (MSC)	CFSP	Malaysia

<u>Metal</u>	<u>Official Smelter Name</u>	<u>Conflict-Free Certification Status</u>	<u>Smelter or Refiner Location</u>
Tin	Minsur	CFSP	Peru
Tin	Operaciones Metalurgical S.A.	CFSP	Bolivia
Tin	PT Bangka Tin Industry	CFSP	Indonesia
Tin	PT Bukit Timah	CFSP	Indonesia
Tin	PT Eunindo Usaha Mandiri	CFSP	Indonesia
Tin	PT Justindo	CFSP	Indonesia
Tin	PT Stanindo Inti Perkasa	CFSP	Indonesia
Tin	PT Sumber Jaya Indah	CFSP	Indonesia
Tin	PT Timah (Persero) Tbk Kundur	CFSP	Indonesia
Tin	PT Timah (Persero) Tbk Mentok	CFSP	Indonesia
Tin	PT Tinindo Inter Nusa	CFSP	Indonesia
Tin	Thaisarco	CFSP	Thailand
Tin	VQB Mineral and Trading Group JSC	CFSP	Viet Nam
Tungsten	A.L.M.T. TUNGSTEN Corp.	CFSP	Japan
Tungsten	Asia Tungsten Products Vietnam Ltd.	CFSP	Viet Nam
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CFSP	China
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	CFSP	China
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CFSP	China
Tungsten	H.C. Starck GmbH	CFSP	Germany
Tungsten	Hydrometallurg, JSC	CFSP	Russian Federation
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.		China
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	TICMC	China
Tungsten	Vietnam Youngsun Tungsten Industry Co., Ltd.	CFSP	Viet Nam
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	CFSP	China
Tungsten	Xiamen Tungsten Co., Ltd.	CFSP	China

Country of Origin

The countries of origin of the Conflict Minerals processed by the supplier-reported smelters and refiners include the following countries. This is to the best of our knowledge correct, based on research into the supply chain of smelters identified by our suppliers, and therefore, this list of countries may not be all-inclusive.

Gold:

Argentina; Armenia; Australia; Austria; Belgium; Bermuda; Bolivia; Brazil; Burundi; Cambodia; Canada; Chile; China; Colombia; Congo (Brazzaville); Czech Republic; Djibouti; DRC- Congo (Kinshasa); Ecuador; Egypt; Estonia; Ethiopia; Finland; France; Germany; Ghana; Guinea; Guyana; Hong Kong; Hungary; India; Indonesia; Ireland; Israel; Italy; Japan; Jersey; Kazakhstan; Korea, Republic of; Kyrgyzstan; Laos; Luxembourg; Madagascar; Malaysia; Mali; Mexico; Mongolia; Mozambique;

Myanmar; Namibia; Netherlands; New Zealand; Niger; Nigeria; Papua New Guinea; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Saudi Arabia; Sierra Leone; Singapore; Slovakia; South Africa; Spain; Suriname; Sweden; Switzerland; Taiwan; Tajikistan; Tanzania; Thailand; Turkey; United Arab Emirates; United Kingdom; United States; Uzbekistan; Viet Nam; Zambia; Zimbabwe.

Tantalum:

Angola; Argentina; Australia; Austria; Belarus; Belgium; Bolivia; Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo (Brazzaville); Czech Republic; Djibouti; DRC- Congo (Kinshasa); Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Ghana; Guinea; Guyana; Hungary; India; Indonesia; Ireland; Israel; Italy; Japan; Jersey; Kazakhstan; Kenya; Korea, Republic of; Laos; Luxembourg; Madagascar; Malaysia; Mali; Mexico; Mongolia; Mozambique; Myanmar; Namibia; Netherlands; Niger; Nigeria; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Switzerland; Taiwan; Tanzania; Thailand; Uganda; United Kingdom; United States; Viet Nam; Zambia; Zimbabwe.

Tin:

Angola; Argentina; Armenia; Australia; Austria; Belgium; Bolivia; Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo (Brazzaville); Czech Republic; Djibouti; DRC- Congo (Kinshasa); Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Guyana; Hong Kong; Hungary; India; Indonesia; Ireland; Israel; Japan; Jersey; Kazakhstan; Kenya; Korea, Republic of; Kyrgyzstan; Laos; Luxembourg; Madagascar; Malaysia; Mexico; Mongolia; Morocco; Mozambique; Myanmar; Namibia; Netherlands; Niger; Nigeria; Papua New Guinea; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Sweden; Switzerland; Taiwan; Tanzania; Thailand; Turkey; Uganda; United Kingdom; United States; Uzbekistan; Viet Nam; Zambia; Zimbabwe.

Tungsten:

Angola; Argentina; Australia; Austria; Belgium; Bolivia; Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo (Brazzaville); Czech Republic; Djibouti; DRC- Congo (Kinshasa); Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Guinea; Guyana; Hungary; India; Indonesia; Ireland; Israel; Japan; Kazakhstan; Korea, Republic of; Laos; Luxembourg; Madagascar; Malaysia; Mexico; Mongolia; Mozambique; Myanmar; Namibia; Netherlands; Niger; Nigeria; Papua New Guinea; Peru; Philippines; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Switzerland; Taiwan; Tanzania; Thailand; Uganda; United Kingdom; United States; Viet Nam; Zambia; Zimbabwe.

Steps to be Taken to Mitigate Risk

For the next reporting year, Stereotaxis plans to continue to work with our suppliers as needed to help them understand and satisfy conflict-free expectations. We will continue to closely monitor the progress of specific suppliers which currently are sourcing from non-CFSP smelters sourcing from the Covered Countries, and continue to improve our internal due diligence determination process.

Additional Risk Factors

Our conflict minerals disclosure is made in good faith and based on best efforts. However, other factors could have led to errors in the disclosures. They include, but are not limited to gaps in supplier data, error in supplier knowledge, gaps in smelter auditing process, errors in public data, errors in language translation, and delays in reporting data through the extensive supply chain upstream of Stereotaxis.